# Liquid Capital Statement

for the month of 30-SEP-19

### of M/s. AKY Securities (Private) Ltd.

Submission Date 08-OCT-2019 17:24:02

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	2,919,812	2,919,812	
1.2	Intangible Assets	2,728,142	2,728,142	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
1.4	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	68,904,162	12,123,937	56,780,225
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.			
	iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking  i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.0	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2 500 000	2 500 000	
1.8	or any other entity.	2,500,000	2,500,000	
1.9	Margin deposits with exchange and clearing house.	500,000	0	500,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.  Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.  Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	40,000	0	40,000
	ii. Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	<ol> <li>100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.</li> </ol>			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	2,754,163	0	2,754,163
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	539,828	533,112	533,112
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.	15,504,907	15,504,907	
1.18	Cash and Bank balances	44.040.000	2	14 040 000
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	14,940,820 1,233,713	0	14,940,820 1,233,713
	iii. Cash in hand	, ,		,,

# Liquid Capital Statement

for the month of 30-SEP-19

### of M/s. AKY Securities (Private) Ltd.

Submission Date 08-OCT-2019 17:24:02

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2   Liabilities     Trade Payable so	s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Trade Payables	1.19	Total Assets	112,565,547	36,309,910	76,782,033
Engage to exchange and clearing about 1	2	Liabilities			
English captaint leveraged material products   1,293,718   0   1,233,718   0	2.1				
ii Pergette to customes  2. Current Labilities  3. Analysis and equalistics diseases  4. Analysis and equalistics diseases  4. Current portion of subconfinited biases  4. Current portion of subconfinited biases  5. Current portion of subconfinited biases  6. Current portion of subconfinited biases  7. Current portion of subconfinited biases  8. Other labilities up per accounting principles and included in the financial statements  8. Other labilities up per accounting principles and included in the financial statements  8. Other labilities up per accounting principles and included in the financial statements  9. Other labilities up per accounting principles and included in the financial statements  1. Long-term financing  1. Long-term financing  1. Compared Labilities  1. Compared Labili					
Current Liabilities   Accousts and other payables   Af 7,832   0   Af 7,832   0   Af 7,832   0   Af 7,832   0   Accousts and other payables   100,000   0			1 233 713	0	1 233 713
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III. Short term benomings   0					47,632
Current potition of subcodinated loams   0   0			100,000	0	100,000
v. Current portion of long term liabilities vi. Portions for the disabilities vi. Portions for the disabilities as per accounting principles and included in the financial statements l. Control Liabilities a per accounting principles and included in the financial statements l. Long-Term financing a Long-Term financing distance from financial institutions Long term position of financing obtained from a financial institution including amount due against financial ease.  D. Other torque framericing Other torque financing II. Adversor against shares for increase in Capital of Securities bioker: 10% halcout may be allowed in respect of advance against shares it.  In Adversor against shares for increase in Capital of Securities bioker: 10% halcout may be allowed in respect of advance against shares it.  In the stress of principle and the company has approved the increase in capital D. Boad of Directors of the company has approved the increase in capital Of there is no unprescended relay in issue of reases against advance and all regulatory requirements relating to the increase in paid up capital have been completed.  A. Auditor is satisfied that such advance is against the increase of capital.  V. Other liabilities as per accounting principles and included in the financial statements.  V. Other liabilities as per accounting principles and included in the financial statements.  V. Other liabilities as per accounting principles and included in the financial statements.  In a schedule III provides that 100% halicated will be allowed against subordinated Loans which full the conditions specified by SECP as allowed to be deducted. In a Schedule III provides that 100% halicated the statement is a specified by SECP in this regard, following conditions are specified by SECP in this regard, following conditions are specified.  3 Ranking Liabilities Relating to:  1.331 Concentration in Mergin Financing.  In a more control of the provides that 100% halicated will be made to the Liquid Capital and revised Liquid Capital statement			0	0	
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3.6 Amount Payable under REPO		assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.1 Repo adjustment		Amount Payable under REPO			
	3.7	Repo adjustment			

# Liquid Capital Statement

for the month of 30-SEP-19

### of M/s. AKY Securities (Private) Ltd.

Submission Date 08-OCT-2019 17:24:02

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites Liquid Capital	111,184,202	36,309,910	75,400,688