# Liquid Capital Statement

for the month of 31-JUL-17

## of M/s. AKY Securities (Private) Ltd.

Submission Date 11-AUG-2017 15:12:43

Page 1 Of 3

1.11   Obeposit with authorized intermediary against borrowed securities under SLB	s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
13 Investment in Court Securities 13 Investment in Court Securities 14 Investment in Court Securities 15 Investment in Court Securities 16 Island than: 15 of the Indisease sheet value in the case of ferurar upto 1 year 17 Island than: 18 of the Indisease sheet value, in the case of ferurar upto 1 year 18 Island than: 19 of the Indisease sheet value, in the case of ferurar upto 1 year 19 Island than: 19 Island than the Indisease sheet value, in the case of ferurar upto 1 year 19 Island than the Indisease sheet value, in the case of ferurar upto 1 year 19 Island than the Indisease sheet value in the case of ferurar upto 1 year 19 Island than the Indisease sheet value in the case of ferurar upto 1 year 19 Island than the Indisease sheet value in the case of ferurar upto 1 year 19 Island than the Indisease sheet value in the case of ferurar upto 1 year 19 Island than the Indisease of the Indisease of ferurar upto 1 year 19 Island than the Indisease of ferurar upto 1 year 19 Island than the Indisease of Island than the Island than the Indisease of Island than the Island than than Island than the Island than than Island than the Island than than Island than Islan	1	Assets			
13   Investment in Cook Securities	1.1	Property & Equipment	1,354,096	1,354,096	
Investment in Debt. Securities   If Island than:   Island than:   Island than than than than than than than than			26,586,240	26,586,240	
Firsted than   1.5% of the balance sheet value in the case of tenue upto 1 year   1.5% of the balance sheet value in the case of tenue on in 1.3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of more than 3 years   1.5% of the balance sheet value, in the case of tenue of the balance of or an of included in the investment of the case of the balance of or an of included in the investment of the case of the balance of or an of included in the investment of the case of the balance of the balance of or an of included in the investment of the case of the balance of the balanc					
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If unlisted than:   1.10% of the balance sheet value, in the case of tenue up to 1 year.   1.10% of the balance sheet value in the case of tenue up to 1 year.   1.10% of the balance sheet value in the case of tenue up to 1 year.   1.10% of the balance sheet value in the case of tenue up to 1 year.   1.10% of the balance sheet value in the case of tenue tenue 1.3 years.   1.10% of the balance sheet value in the case of tenue tenue 1.3 years.   1.10% of the balance sheet value in the case of tenue tenue 1.3 years.   1.10% of the balance sheet value in the case of tenue or most think 1 years.   1.10% of the balance sheet value in the case of tenue tenue 1.3 years.   1.10% of the balance sheet value in the case of tenue or most think 1 years.   1.10% of the balance sheet value in the case of tenue or most think 1 years.   1.10% of the balance sheet value in the case of tenue or most think 1 years.   1.10% of the balance sheet value in the case of tenue of the case of tenue or most think 1 years.   1.10% of the balance sheet value in the case of tenue of the case of the case of the case of the case of tenue of the case					
If untisted than:		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
LTMs of the balance sheet value in the case of feature upto 1 year.					
IL 125% of the balance sheet value, in the case of tense from 1-3 years.					
Investment in Equity Securities on the cutoff date as computed by the Securities Exchange for projective securities whichever is higher.		ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
Lif Island 15% or VAR of each securities witherever is higher respective securities witherever is higher Lif unlisted. 100% of caming value.  Lif unlisted of 100% of caming value.  Lif unlisted value value and the value of 100%		,			
legacetive securities whichever is higher.  Il Funities 100% of capating value.  Il Funities 100% of capating value.  Il Subscription money against investment in IPOloffer for Sale: Amount paid as subscription money.  Il Subscription money against investment in IPOloffer for Sale: Amount paid as subscription money.  In Investment in australiate.  In Investment	1.5	Investment in Equity Securities	22 222 742	1 000 155	24 242 224
If unlisted. 100s of carming value.			29,309,718	4,396,457	24,913,261
coolded that shares have not been alloted or are not included in the investments of securities booker.					
1.0   Investment in subdicities					
Investment in associated companies/undertaking   Ill filtsed 25% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.   Ill filtsed 25% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.   Ill filtsed 25% of ret value.   Ill filtsed 25% of ret value of val	1.6				
If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.  18 Statutory or regulatory deposits what exchanges, clearing house or central depository or any other entity.  19 Variety of positive process with exchange and clearing house.  10 Object deposits and prepayments.  11 Other deposits and prepayments.  12 Oxfort deposits and prepayments.  13 Object in inspect of markup accused on loans to directors, subsidiaries and other related parties.  14 Amount seceivable against Repo financing.  15 Oxfort em Loan To Explores Cloans are Secured and Due for repayment within 12 months.  16 Securities and provides a provides a securities and provides a securities and provides a securities.  17 Securities and provides on account of entitlements against trading of securities in all markets including MIM gains.  18 Receivables from clearing house or securities explained in the measurement.  19 Receivables from customers.  19 In consideration of the provides and provides a social provides a social provides and provides and provides and provides and provides a social provides and provides a social provides a social provides and provides account after applying VAR based haircut, (ii) cash deposited as collateral by the finance (ii) market value of my securities deposited as collateral provides.  19 In consequence of the trade receivables are against securities believed and provides and p					
ii. If unisited, 10% of net value.  1.8 Satutory or regulatory deposits where deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Magn deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary apainst borrowed securities under \$1.8.  1.11 Oeposit with authorized intermediary apainst borrowed securities under \$1.8.  1.12 According to the property of the propert					
1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposits with such or control					
or any other entity.  1.9 Margin deposits with exchange and clearing house. 1.19 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments. 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (kill) 1.13 (but of the profit or mark-up on amounts placed with financial institutions or debt securities etc. (kill) 1.14 (kill) 1.15 (kill) 1.16 (kill) 1.17 (kill) 1.18 (kill) 1.19 (kill) 1.19 (kill) 1.19 (kill) 1.10 (kill) 1.10 (kill) 1.11 (kill) 1.12 (kill) 1.13 (kill) 1.14 (kill) 1.15 (kill) 1.16 (kill) 1.17 (kill) 1.18 (kill) 1.19 (kill) 1.19 (kill) 1.10 (kill) 1.10 (kill) 1.10 (kill) 1.11 (kill) 1.12 (kill) 1.12 (kill) 1.13 (kill) 1.14 (kill) 1.15 (kill) 1.16 (kill) 1.17 (kill) 1.18 (kill) 1.19 (kill) 1.19 (kill) 1.10 (k	1.0				
1.110   Deposit with authorized intermediary against borrowed securities under SLB	1.0	or any other entity.			
1.12 Acceleration of the deposits and prepayments 1.12 Acceleration of the mark-up on amounts placed with financial institutions or debt securities etc.(NIII) 1.00% in respect of markup accrued on loans to directors, subsidiaries and other related parties 1.1.13 Dividends receivables 1.1.14 Amounts receivable against Repo financing. 1.14 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 1.15 I Short ferm Loan io Employees Loans are Secured and Due for repayment within 12 months 1.16 Receivables from clearing house or securities exchange(s) 1.100% value of claims other than those on account of entitlements against trading of securities in all markets including MIM gains. 1.17 Receivables on entitlements against trading of securities in all markets including MIM gains. 1.18 Receivables on entitlements against trading of securities in all markets including MIM gains. 1.19 Receivables from customers 1.10 Receivables are against margin financing, the aggregate if (i) value of securities held in the biocked account after applying VAR based Haircut, (i) cash deposited as collateral by the financee (iii) 1.10 Receivables are against margin financing, the adgregate if (i) value of securities held in the biocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) 1.10 Receivables are against margin trading, 5% of the net balance sheet value. 1.10 Receivables are against securities bromowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, 1.11 Receivables are against securities bromowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, 1.12 Receivables are against securities bromowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, 1.13 Receivables are against securities bromowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, 1.14 Receivables are against securiti		Margin deposits with exchange and clearing house.	16,504,810	0	16,504,810
1.12   Accused Interest, profit or mark—up on amounts placed with financial institutions or debt securities etc.(Nii)   100% in respect of markup accused on loans to directors, subsidiaries and other related parties   1.13   Dividends receivables   1.14   Amounts receivable against Repo financing,					
etc.(Nii) 100% in respect of markup accound on loans to directors, subsidiaries and other related parties 1.13 Dividends receivables. 1.14 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 Is fort term Loan to Employees: Loans are Secured and Due for repayment within 12 months 1.16 Receivables other than trade receivables 1.17 Receivables other than trade receivables 1.18 Receivables of common customers 1.19 Is receivable on entitlements against trading of securities in all markets including MIM gains. 1.10 Receivable on entitlements against trading of securities in all markets including MIM gains. 1.17 Receivables on customers 1.18 In case receivables are against margin financing, the aggregate if (f) value of securities held in the blocked account after applying VAR based Haircut (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 1. Lower of neb blance sheet value or value determined through adjustments. 1. In case receivables are against margin trading, 5% of the net balance sheet value. 1. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1. Real amount after deducting haircut iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1. Ba					
1.11 Dividends receivables. 1.14 Amounts receivables against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 L Short Ierm Loan To Employees Loans are Secured and Due for repayment within 12 months  ii. Receivables other than trade receivables 1.16 Receivables from clearing house or securities exchange(s) 1.10% value of claims other than those on account of entitlements against trading of securities in all markets including MIM gains.  ii. Receivables from customers 1.17 Receivables on entitlements against trading of securities in all markets including MIM gains.  ii. Receivables on entitlements against trading of securities held in the blocked account after applying VAP based Haicrut. (ii) cash reposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral adjustments.  I. In case receivables are against margin flading, 5% of the net balance sheet value.  I. Lower of net balance sheet value or value determined through adjustments.  II. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting halicut.  II. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting halicut.  II. Incase of other trade receivables are overdue, of 5 days or more, the aggregate of (i) the market value.  I. Net amount after deducting halicut.  II. Incase of other trade receivables are overdue, of 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based halicuts.  II. Cash and Bank balances  II. Bank balance—customer accounts  II. Bank balance—customer accounts  II. Bank balance—customer accounts  II. Bank balance—customer		etc.(Nil)			
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i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MM gains.  i. Receivables on entitlements against trading of securities in all markets including MIM gains.  1.17 Receivables from customers  I. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Hairout, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral applying VAR based hairout.  I. Lower of net balance sheet value or value determined through adjustments.  II. Incase receivables are against margin trading, 5% of the net balance sheet value.  III. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  III. Net amount after deducting hairout  IV. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  IV. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  V. Incase of other trade receivables or overdue, or 5 days or more, the aggregate of (i) the market  V. Incase of other trade receivables or overdue, or 5 days or more, the aggregate of (i) the market  V. Incase of other trade receivables or overdue, or 5 days or more, the aggregate of (i) the market  V. Incase of other		ii. Receivables other than trade receivables	47,375	47,375	
markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers  I. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  I. Lower of net balance sheet value or value determined through adjustments.  Ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  Iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  728,449 0 728,449 v. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market as 3,595,520 v. Value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 10% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances  1. Bank Balance-proprietory accounts  1. Bank Balance-proprietory accounts  1. Bank Balance-customer accounts  1. Bank Balance-customer accounts  1. Bank Balance-customer accounts  1. Bank Balance customer accounts  1. Bank Balance iii. Bank Balance iiii. B	1.16				
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1.17 Receivables from customers  i. in case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iiii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iiii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances i. Bank Balance-proprietory accounts 3,628,963 0,3,628,963 0,3,628,963 0,3,628,963 2,119  Total Assets 127,505,630 32,384,168 91,525,942 1 Irade Payables i. Payable to exchanges and clearing house ii. Payable to exchanges and clearing house ii. Payable to exchanges and clearing house ii. Payable against leveraged market products					
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collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand  1.19  Total Assets  127,505,630 32,384,168 91,525,942 2.1  Liabilities  2.1  Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products		ii. Net amount after deducting haircut			
iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand 1.19 Total Assets 127,505,630 32,384,168 91,525,942 2 Liabilities 2.1 Trade Payables ii. Payable to exchanges and clearing house ii. Payable against leveraged market products					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank Balance-customer accounts iii. Cash in hand 1.19 Total Assets 127,505,630 32,384,168 91,525,942 2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand  1.19  Total Assets  127,505,630  32,384,168  91,525,943  2.1  Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products			728,449	0	728,449
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances  i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand  1.19 Total Assets  127,505,630 32,384,168 91,525,942 2 Liabilities  2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products			0.505.500	0	
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts iii. Bank balance-customer accounts iii. Cash in hand 1.19 Iotal Assets 127,505,630 32,384,168 91,525,942 Liabilities 2.1 Irade Payables i. Payable to exchanges and clearing house iii. Payable against leveraged market products			3,595,520	0	
vi. 100% haircut in the case of amount receivable form related parties.         1.18       Cash and Bank balances <ul> <li>i. Bank Balance-proprietory accounts</li> <li>ii. Bank balance-customer accounts</li> <li>iii. Cash in hand</li> </ul> 3,628,963       0 3,628,963         1.19       Total Assets       127,505,630       32,384,168       91,525,943         2       Liabilities         2.1       Trade Payables <ul> <li>i. Payable to exchanges and clearing house</li> <li>ii. Payable against leveraged market products</li> </ul>					
1.18   Cash and Bank balances		securities held as collateral after applying VaR based haircuts.			
i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand  1.19 Total Assets  2 Liabilities  2.1 Irade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products	1 1Ω				
ii. Bank balance-customer accounts   3,628,963   0   3,628,965     iii. Cash in hand   127,505,630   32,384,168   91,525,945     2	1.10		45 750 450	<u> </u>	45 750 450
iii. Cash in hand  1.19 Total Assets  2 Liabilities  2.1 Irade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products					
2 Liabilities 2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products		iii. Cash in hand			
2.1 Trade Payables  i. Payable to exchanges and clearing house ii. Payable against leveraged market products			127,505,630	32,384,168	91,525,942
i. Payable to exchanges and clearing house ii. Payable against leveraged market products					
ii. Payable against leveraged market products	2.1				
			3,628,963	0	3,628,963

# Liquid Capital Statement

for the month of 31-JUL-17

## of M/s. AKY Securities (Private) Ltd.

Submission Date 11-AUG-2017 15:12:43

Page 2 Of 3

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
2.2	Company Linds (194)			
2.2	Current Liabilities i. Statutory and regulatory dues			
	ii. Accruals and other payables			
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans	3,300,002	0	3,300,002
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements	49,449	0	49,449
2.3	Non-Current Liabilities	10,110		10,110
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
1				
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP	6.978.414	0	6.978.414
2.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilites	6,978,414	0	6,978,414
3	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilites  Ranking Liabilities Relating to:	6,978,414	0	6,978,414
	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing	6,978,414	0	6,978,414
3	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing  The amount calculated client-to- client basis by which any amount receivable from any of the	6,978,414	0	6,978,414
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.	6,978,414	0	6,978,414
3	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing	6,978,414	0	6,978,414
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of:	6,978,414	0	6,978,414
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing	6,978,414	0	6,978,414
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financess.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed	6,978,414	0	6,978,414
3 3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price;	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of:  (i) Amount deposited by the borrower with NCCPL  (li) Cash margins paid and  (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of:  (i) Amount deposited by the borrower with NCCPL  (li) Cash margins paid and  (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financess.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financess.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (ii) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments  Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	6,978,414	0	6,978,414
3.1 3.2 3.3	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary	6,978,414	0	6,978,414
3.1	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments  Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency positions	6,978,414	0	6,978,414
3.1 3.2 3.3	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (iii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency.Net position in foreign currency means the difference of total	6,978,414		6,978,414
3.3 3.1 3.2 3.3 3.4	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency	6,978,414		6,978,414
3.1 3.2 3.3 3.4 3.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency.  Amount Payable under REPO	6,978,414	0	6,978,414
3.3 3.1 3.2 3.3 3.4	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency Repo adjustment	6,978,414	0	6,978,414
3.1 3.2 3.3 3.4 3.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of:  (i) Amount deposited by the borrower with NCCPL  (iii) Cash margins paid and  (iiii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:  (i) the 50% of Haircut multiplied by the underwriting commitments and  (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments  Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary currency positions  5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency  Amount Payable under REPO  Repo adjustment  In the case of financieripurchaser the total amount receivable under Repo less the 110% of the market	6,978,414		6,978,414
3.1 3.2 3.3 3.4 3.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency Repo adjustment	6,978,414		6,978,414
3.1 3.2 3.3 3.4 3.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP  Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments  Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency means the difference of total assets of the access of financier/purchaser the total amount receivable under Repo less the 110% of the market value of	6,978,414		6,978,414
3.3.1 3.2 3.3 3.3 3.4 3.5 3.6 3.7	Total Liabilities  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of:  (i) Amount deposited by the borrower with NCCPL  (ii) Cash margins paid and  (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:  (i) the 50% of Haircut multiplied by the underwriting commitments and  (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments  Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)  Foreign exchange agreements and foreign currency positions  % of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency  Repo adjustment  In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.	6,978,414		6,978,414
3.1 3.2 3.3 3.4 3.5	Total Liabilites  Ranking Liabilities Relating to:  Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing The amount by which the aggregate of:  (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  Net underwriting Commitments  (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments  Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities after applying haircut less the total amount receivable under Repo less the polying haircut less the total amount receivable under Repo less of financeaeleller the market value of underlying securities after applying haircut less the total amount receivable under Repo less of financeaeleller the market value of underlying securities after applying haircut less the total amount received les	6,978,414		6,978

# Liquid Capital Statement

for the month of 31-JUL-17

## of M/s. AKY Securities (Private) Ltd.

Submission Date 11-AUG-2017 15:12:43

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	10,641,529	625,972	625,972
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	10,641,529	625,972	625,972
3.12	Liquid Capital	109,885,687	31,758,196	83,921,556