## Liquid Capital Statement

for the month of **31-OCT-22** 

#### of M/s. AKY Securities (Private) Ltd.

Submission Date 15-NOV-2022 11:10:56

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	2,644,222	2,644,222	
1.2	Intangible Assets	2,593,447	2,593,447	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	65,514,513	10,105,106	55,409,407
	ii. If unlisted, 100% of carrying value.	847	847	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,500,000	1,500,000	
1.9	or any other entity.  Margin deposits with exchange and clearing house.	750,000	0	750,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.			
1.11	Other deposits and prepayments  Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.  Amounts receivable against Repo financing.	966,033	0	966,033
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS	16,000	0	16,000
	ii. Advance tax to the extent it is netted with provision of taxation.  iii. Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.	0	0	
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	894,072	0	894,072
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	0	0	
	market value of any securities deposited as collateral after applying VaR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	330,896	0	330,896
	iv. Balance sheet value	,		,
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of	3,047,576	2,708,256	2,708,256
	securities held as collateral after applying VaR based haircuts.			
1 10	vi. 100% haircut in the case of amount receivable form related parties.	20,213,371	20,213,371	
1.18	Cash and Bank balances  i. Bank Balance-proprietory accounts	8,552,098	0	8,552,098
	ii. Bank balance-customer accounts	3,106,141	0	

## Liquid Capital Statement

for the month of **31-OCT-22** 

#### of M/s. AKY Securities (Private) Ltd.

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Bit. Cash in hand				Page	2 01 3
Indical Asserts   10 Indical Asserts   10 Indical Psychology   72,725,900	s.No.	Head of Account			
Indical Asserts   10 Indical Asserts   10 Indical Psychology   72,725,900		iii Cash in hand			
Library   Libr	1.19		110,129,216	39,765,249	72,732,903
Finder Payable to exchange and clearing house	2				
Expectation to exchanges and clearing house   A Payable to customers	2.1				
Byte-public seamed becompact market products   3,106,140   0   3,106,140   0   3,106,140   0   3,106,140   0   3,106,140   0   3,106,140   0   1,18,300   0   1,18,300   0   1,18,300   0   4,21,510					
Current Liabilities   Laccusta and other payables   118,800   0   118,800   18,900		ii. Payable against leveraged market products			
Statutory and resultative dues   118,800   0   18,800	2.2		3,106,140	0	3,106,140
Accounts and other papables   421,510   0   421,510	2.2		440.000	0	440.000
II. Start - term becovering   V. Current position of subdefielded loans   V. Current position of tong term installation   V. Current position of tong term installation   V. Current position of tong term installation   V. Current term   V. Curre					
V. Curent portion of long term liabilities Vi. Devokon for bate diselbits Vi. Povokon for bate diselbits Vi. Vi. Vi. Povokon for bate diselbits Vi. Vi. Vi. Povokon for bate diselbits Vi.			721,010		421,010
vi. Defended Liabilities vi. Proviotion for baid debts vii. Proviotion for braid debts vii. Proviotion for braid debts vii. Proviotion for braid debts viii. Proviotion for braid viii. Proviotion of the proviotion of financial resistation including emount due against finance lesse viii. Unique for long-term financing outsided from financial institution including emount due against finance lesse viii. Viii. Proviotion for the proviotion of financial viii. Viii. Proviotion of financial institution including emount due against finance lesse viii. Viiii. Proviotion of the proviotion of financial viiii. Viii. Viiii.					
vi. Provision for band debts vii. Provision for the value of the special country of the provision of the value of the provision of the value of the provision of the value of					
Viii. Provision for Loadion   Viii. District Ideal in the financial statements   58,900,000   0   58,900,0					
In Control Labilities as per accounting principles and included in the financial statements   58,800,000   0   58,800,000					
Long-Term financing a Long-Term financing bilatined from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing lister feathers benefits iii. Advance against shares for increase in Capital of Securities boker; 100% halicut may be allowed in respect of advance against shares for increase in Capital of Securities boker; 100% halicut may be allowed in respect of advance against shares for increase in Capital of Securities boker; 100% halicut may be allowed in respect of advance against shares spain allows the proposed enhanced share capital as the stating authorized their capital shares against share against share against control of the capital has been capital of the increase in pald up capital have been completed. c. Retirevant Regulatory approved he have been completed. d. Auditor its satisfied that such advance is against the increase of capital. v. Other liabilities as par accounting principles and included in the financial statements. v. Other liabilities as par accounting principles and included in the financial statements. v. Other liabilities as par accounting principles and included in the financial statements. v. Other liabilities as par accounting principles and included in the financial statements. v. Other liabilities as par accounting principles and included in the financial statements. v. Other liabilities as par accounting principles and included in the financial statements. v. Other liabilities are accounted to the state of the st			58,900,000	0	58,900,000
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a financial institution including amount due against finance lease b. Other long-term financing I. Staff retirement benefits iii. Advance against shares for Incesses in Capital of Securities broker: 100% haiccut may be allowed in a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Disectors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. 9. Auditor is satisfied that is such advance of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. 9. Auditor is satisfied that is such advance is against the increases of capital. 9. Subordinated Loans 1. 1006 Subordinated loans which fulfill the conditions specified by SCP are allowed to be deducted. 1. 1006 Subordinated loans which fulfill the conditions specified by SCP in this tegral, following conditions are specified: 2. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period 3. No halicut will be allowed against short term portion which is repayable within next 12 months. 2. In case of early paperiment of icon adjustment shall be made to the Liquid Capital and revised Liquid 3. Subordinated loans which do not fulfill the conditions specified by SCP 2. Total Liabilities Relating to: 3. Concentration in Margin Financing 3. Reaking Liabilities Relating to: 3. Concentration in Margin Financing 3. Remaining Liabilities Relating to: 4. Concentration in Margin Financing 4. The amount by which the aggregate of amounts receivable from total financees. 4. Concentration in Securities lending and borrowing 5. The amount pay which the aggregate of amounts receivable from total financees. 5. Concentration in recurrency and and office of the subscription price: the aggregate of 3. O		i. Long-Term financing			
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iii Advance against shares for increase in Capital of Secutities troker 100% haircut may be allowed in respect of advance against shares if:  a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. Auditor is sutfilled that such advance is against at his necesse of capital. Auditor is sutfilled that such advance is against an experiment by SECP are allowed to be deducted. The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP as allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months or feporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liguid Capital and revised Liquid Capital statement must be submitted to exchange. II. Subordinated loans which do not fulfill the conditions specified by SECP  2.5 Total Liabilities Relating to:  3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total financess.  3.2 Concentration in Securities lending and borrowing The amount by which the aggregate of amounts receivable from total financess.  3.3 Net underwriting Commitments (a) in the case of right issues where the market value of securities is less than or equal to the subscription price. The ag					
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Ranking Liabilities Relating to:  3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total financess.  3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  3.3 Net underwriting Commitments (a) In the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) In any other case: 12.5% of the net underwriting The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency		ii. Substantated todas which do not famili the conditions specified by scot			
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The amount calculated client-to- client basis by which any amount receivable from any of the financese sexceed 10% of the aggregate of amounts receivable from total financees.  3.2 Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments  3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary)  5.5 Foreign exchange agreements and foreign currency positions 5.5 of the net position in foreign currency. Net position in foreign currency  3.6 Amount Payable under REPO	3	Ranking Liabilities Relating to:			
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(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments  3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency  3.6 Amount Payable under REPO	3.2				
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3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  5.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency  3.6 Amount Payable under REPO		the Haircut multiplied by the net underwriting			
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		5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.1		Amount Payable under REPO			
	3.7				

# Liquid Capital Statement

for the month of **31-OCT-22** 

### of M/s. AKY Securities (Private) Ltd.

Submission Date 15-NOV-2022 11:10:56

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s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.  In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
3.10	extent not already met			
3.10	Short selli positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	47,582,646	39,765,249	10,186,333
	Eldara cabita.			