# Liquid Capital Statement

for the month of 31-MAR-22

### of M/s. AKY Securities (Private) Ltd.

Submission Date 08-APR-2022 15:38:05

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
	Property & Equipment	2,742,167	2,742,167	
	Intangible Assets	2,616,809	2,616,809	
	Investment in Govt. Securities	0		
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.  iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities	70.040.400	40,000,040	50,000,570
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	70,649,490	10,660,918	59,988,572
	ii. If unlisted, 100% of carrying value.	250	250	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.  iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	22,771,296	22,771,296	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	22,771,290	22,771,290	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries			
-	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,500,000	1,500,000	
	or any other entity.	1,500,000	1,300,000	
	Margin deposits with exchange and clearing house.			
	Deposit with authorized intermediary against borrowed securities under SLB.			
	Other deposits and prepayments  Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	20,500	0	20,500
	PLUS ii. Advance tax to the extent it is netted with provision of taxation.			
	iii. Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	0	0	
	markets including MtM gains.	500	0	F23
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  Receivables from customers	523	0	523
1	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	971,252	0	971,252
	iv. Balance sheet value	·		, -
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	293,973	286,058	286,058
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.	25,597,790	25,597,790	
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	8,268,799		
	ii. Bank balance-customer accounts	3,946,552	0	3,946,552

# Liquid Capital Statement

for the month of 31-MAR-22

### of M/s. AKY Securities (Private) Ltd.

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Iii. Cash in hand				Page	2 01 3
International Content   199,379,401   199,	s.No.	Head of Account			_
International Content   199,379,401   199,		iii Cash in hand			
Liberations	1.19		139,379,401	66,175,288	73,482,256
Finder Payables					-, -,
Payable to exchange and clearing house					
Expedits regions (processed maked products)   3,946,551   0 3,946,551	2.1				
iii Projette to castormes 2 Current (Labilities 3 146,651 2 Current (Labilities) 3 140,000 3 140,000 3 150					
Statutory and resolutions dues   94.681   0   94.681   120.086   1   1   120.086   1   1   1   1   1   1   1   1   1			3,946,551	0	3,946,551
Accusals and other parables   120,886   0   120,886   120,886   150,000   120,000	2.2	Current Liabilities			
ii. Short-isem between polition of subdefinited loans v. Cureent polition of subdefinited loans v. Cureent polition of subdefinited loans v. Cureent polition of subdefinited loans viii. Providion for bat debits viii. Long for loans for bat debits viii. Long for loans for bat debits viii. Long for loans for bat debits viii. Providion fore bat debits viii. Providion for bat debits viii. Providion for b			94,081	0	94,081
Current portion of subcriticated loss			120,886	0	120,886
V. Current portion of long term flabilities vi. Portions for Each disblike vi. Long-Term financing vi. V. Other labellines as per accounting principles and included in the financial statements vi. V. Other labellines as per accounting principles and included in the financial statements vi. V. Other labellines as per accounting principles and included in the financial statements vi. V. Other labellines as per accounting principles and included in the financial statements vi. V. Other labellines as per accounting principles and included in the financial statements vi. V. Other labellines as per accounting principles and included in the financial statements vi. V. Oth					
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S. Other liabilities as per accounting principles and included in the financial statements   58,800,000   0   58,800,000					
Non-Current Liabilities   Long-Term financing obtained from financial institution including amount due against finance lease   Dithe long-term financing obtained from financial institution including amount due against finance lease   Dithe long-term financing   Dithe long-term fi					
Li Long-Term financing a Long-Term financing potamed from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing ii. Staff retirement benefits iii. Advance against shares for increases in Capital of Securities broker: 100% halircut may be allowed in respect of advance against shares for increases in Capital of Securities broker: 100% halircut may be allowed in respect of advance against shares for increases in Capital of several policy of the stating authorized share capital allows the proposed enhanced share capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delays in sixue of shares against advance and all regulatory requirements relating to the increase in policy or capital have been completed. d. Auditor is suitified that such advances of shares against advance and all regulatory requirements relating to the increase in policy or capital have been completed. d. Auditor is suitified that such advances of shares against advance and all regulatory requirements relating to the increase in policy or capital have been completed. d. Auditor is suitified that such advances of shares against advance and all regulatory requirements relating to the increase in policy or capital have been completed. d. Auditor is suitified that such advances is against the increase of capital. In Other liabilities as peer accounting principles and included in the financial statements in Other liabilities as peer accounting principles and included in the financial statements in Other liabilities as peer accounting principles and included in the financial statements in Other liabilities as peer accounting principles and must clearly reflect the amount to be repaid after 17 months of repending period a Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 17 months of repending period b. No halicut will be allowed against short term portion which i		ix. Other liabilities as per accounting principles and included in the financial statements	58,900,000	0	58,900,000
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The Schedule III provides that 100% halicut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period  b. No halicut will be allowed against short term portion which is repayable within next 12 months.  c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid  Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP   2.5 Total Liabilities  3. Ranking Liabilities Relating to:  3.1 Concentration in Margin Financing.  The amount calculated client-lo- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  3.2 Concentration in securites lending and borrowing  The amount by which the aggregate of:  (i) Amount deposited by the borrower with NCCPL  (ii) Cash margins paid and  (iii) the market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  3.3 Net underwriting Commitments  (a) In the case of right issues: If the market value of securities is less than or equal to the subscription price: the aggregate of:  (i) the 50% of Haicut multiplied by the underwriting commitments and  (ii) the value by which the underwriting commitments sexceeds the market price of securities is greater than the subscription price, 5% of the Haicut multiplied by the net underwriting commitments  1. Negative equity of subsidiary  1. Proeign exchange agreements and foreign currency positions  5. Of the net position in foreign currency Positions  5. Of the net position in foreign currency positions  5. Of the net position in foreign currency positions  3. Amount Payable under REPO	2.4				
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5.1		Amount Payable under REPO			
	3./	<u>l</u>			

# Liquid Capital Statement

for the month of 31-MAR-22

### of M/s. AKY Securities (Private) Ltd.

Submission Date 08-APR-2022 15:38:05

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	76,317,883	66,175,288	10,420,738