Liquid Capital Statement

for the month of 30-APR-22

of M/s. AKY Securities (Private) Ltd.

Submission Date 13-MAY-2022 12:18:35

Page 1 Of 3

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iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 20,596,809 20,596,809			205		205
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances 420,242 412,127 412,1			805,333	0	805,333
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances 20,596,809 20,596,809			420.242	412.127	412,127
securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances 20,596,809 20,596,809			,	,	,
vi. 100% haircut in the case of amount receivable form related parties. 20,596,809 20,596,809 1.18 Cash and Bank balances					
1.18 Cash and Bank balances			20 506 000	20 EUE 000	
odsh dha bahk balahoos	1.18	<u> </u>	20,596,609	∠∪,596,609	
		i. Bank Balance-proprietory accounts	5,047,110	0	5,047,110

Liquid Capital Statement

for the month of 30-APR-22

of M/s. AKY Securities (Private) Ltd.

Submission Date 13-MAY-2022 12:18:35

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Factor and the second s	Hair Cut/ Adjustments 842 64,194,725	Net Adjusted Value 78,206,129
1.19 Total Assets 2 Liabilities 2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers 2.2 Current Liabilities 141,996,4 Current Liabilities	842 64,194,725	78,206,129
1.19 Total Assets 141,996,4 2 Liabilities 2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers 6,463,7 2.2 Current Liabilities	842 64,194,725	78,206,129
2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers 2.2 Current Liabilities 6,463,7		
2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers 2.2 Current Liabilities		
i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Current Liabilities 6,463,7		
ii. Payable against leveraged market products iii. Payable to customers 2.2 Current Liabilities 6,463,7		
2.2 Current Liabilities		
our cit Elabilities	796 0	6,463,796
li Statutory and regulatory dues		
	809 0	
ii. Accruals and other payables 42,s iii. Short-term borrowings	919 0	42,919
iv. Current portion of subordinated loans		
v. Current portion of long term liabilities		
vi. Deferred Liabilities		
vii. Provision for bad debts		
viii. Provision for taxation	200	50,000,000
ix. Other liabilities as per accounting principles and included in the financial statements 58,900,0 2.3 Non-Current Liabilities	000 0	58,900,000
Not caron Elabinios		
i. Long-Term financing		
a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease		
b. Other long-term financing		
ii. Staff retirement benefits		
iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in		
respect of advance against shares if:		
a. The existing authorized share capital allows the proposed enhanced share capital		
b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained		
d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements		
relating to the increase in paid up capital have been completed.		
e. Auditor is satisfied that such advance is against the increase of capital.		
iv. Other liabilities as per accounting principles and included in the financial statements		
2.4 Subordinated Loans		
i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:		
a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period		
b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid		
Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP		
2.5 Total Liabilities 65,483,9	524 0	65,483,524
Ranking Liabilities Relating to:		
3.1 Concentration in Margin Financing		
The amount calculated client-to- client basis by which any amount receivable from any of the		
financees exceed 10% of the aggregate of amounts receivable from total financees.		
3.2 Concentration in securites lending and borrowing		
The amount by which the aggregate of:		
(i) Amount deposited by the borrower with NCCPL		
(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares		
borrowed		
3.3 Net underwriting Commitments		
(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription		
price; the aggregate of:		
(i) the 50% of Haircut multiplied by the underwriting commitments and		
(ii) the value by which the underwriting commitments exceeds the market price of the securities.		
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of		
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting		
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments		
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)		
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary		
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions		
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency		
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 5.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total		

Liquid Capital Statement

for the month of 30-APR-22

of M/s. AKY Securities (Private) Ltd.

Submission Date 13-MAY-2022 12:18:35

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	76,513,318	64,194,725	12,722,605