Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-MAR-23** 

of M/S. AKY Securities (Private) Ltd.

Submission Date 10-APR-2023 13:34:18

No.         Value dr         Yalue dr         Yalue dr         Yalue dr         Yalue dr           1         Resets         200 Hz         270 Hz         200 Hz <th></th> <th>Submission Date 10-Art-2023 13.34.10</th> <th></th> <th>Bago</th> <th>1 Of 3</th>		Submission Date 10-Art-2023 13.34.10		Bago	1 Of 3
Image: Control of the second					
1         Asselb         2.730,172         2.730,72           11         Integrity & Exclution         2.001,72         2.730,72         2.001,72         2.730,72           13         Integrity & Exclution         2.001,72         2.730,72         2.001,72         2.0	S.No.	Head of Account			-
11         Cooperty & Equipment         2.730,172         2.730,172         2.730,172           13         Investment In Geb/, Securities         2.835,447         2.835,447           13         Investment In Geb/, Securities         2.835,447         2.835,447           14         Investment In Geb/, Securities         2.835,447         2.835,447           14         Investment In Geb/, Securities         2.837,447         2.837,447           15         It leads than:         2.857,448         2.837,447         2.837,447           15         It leads than:         2.837,447         2.837,447         2.837,447           16         It leads than:         2.837,448         2.837,447         2.837,447           17         It leads than:         2.837,447         2.837,447         2.837,447           17         It leads than:         1.947,448         1.947,448         2.947,448         2.947,448           17         It leads than:         1.947,448         1.947,448         2.947,448         2.947,448           18         Investment in Figure Securities in the properties of the properiod of the proproperiod the propriod of the properiod of the prop			Pak Rupee	Adjustments	Value
11       Repetry & Englanemit       2,730,172       2,750,172         13       Intergified Aced.       2,730,172       2,658,447         13       Interpited In Cold. Securities       2,750,172       2,658,447         14       Investment In Cold. Securities       2,658,447       2,658,447         15       Investment In Cold. Securities       1       1       1       1       1         15       Investment In Cold. Securities       1 <td< td=""><td>1</td><td>Assets</td><td></td><td></td><td></td></td<>	1	Assets			
13         Investment in Gott, Securities         Investment in Gott, Securities           14         Investment in Cott, Securities         Investment in Cott, Securities         Investment in Cott, Securities           15         If the balance sheet value, in the case of tenue area to investment by year.         Investment in Cott, Securities         Investment in Cott, Securities           16         If the balance sheet value, in the case of tenue from 1 year.         Investment in Cott, Securities         Investment in Cott, Securities           17         If the balance sheet value, in the case of tenue from 1 year.         Investment in Cott, Securities         Investment in Cott, Securities           18         If the balance sheet value, in the case of tenue from 1 year.         Investment in Cott, Securities         Investment in Cott, Securities           17         If the balance sheet value, in the case of tenue from tenue of rome from 1 year.         Investment in Cott, Securities         Investment in Cott, Securities           18         If the balance sheet value, in the case of tenue of rome from 1 year.         If the balance sheet value, in the case of tenue of rome from 1 year.         If the balance sheet value, in the case of tenue of rome from 1 year.           17         If westment in Securities of the case of tenue of rome from 1 year.         If the balance sheet value in the case of tenue of rome from 1 year.           18         If the balance sheet value, in the case of tenue of rome from 1 year. </td <td></td> <td></td> <td>2,730,172</td> <td>2,730,172</td> <td></td>			2,730,172	2,730,172	
10         Intersence between book value and sale value on the date on the basis of PKPV publiched by NIT)         Imposition in Deck Securities           14         Investment in Deck Securities         Imposition in the case of tenue tupin 1 year         Imposition in the case of tenue tupin 1 year           13         This of the balance sheet value, in the case of tenue of more thema shares         Imposition in the case of tenue of more thema shares         Imposition in the case of tenue of more thema shares           14         Tool the balance sheet value, in the case of tenue up to 1 year.         Imposition in the case of tenue of more thema shares         Imposition in the case of tenue up to 1 year.           15         Integet the balance sheet value, in the case of tenue up to 1 year.         Imposition in the case of tenue up to 1 year.         Imposition in the case of tenue up to 1 year.         Imposition in the case of tenue up to 1 year.           16         Integet tenue the sheet value in the custof date as computed by the Securities tenue and the case of tenue up to 1 year.         Imposition in the custof case of tenue up to 1 year.         Imposition in the custof case of tenue up to 1 year.         Imposition in the custof case of tenue up to 1 year.         Imposition in the custof case of tenue up to 1 year.         Imposition in the custof case of tenue up to 1 year.         Imposition in the custof case of tenue up to 1 year.         Imposition in the custof case of tenue up to 1 year.         Imposition in the custof case of tenue up to 1 year.         Imposite tenue tenue tenue tenue tenue tenue tenue tenue t			2,593,447	2,593,447	
14       Investment in Deck. Securities	1.3	Investment in Govt. Securities			
14       Investment in Deck. Securities		(Difference between book value and sale value on the date on the basis of PKRV published by NIFT)			
15       Soft the balance sheet value, in the case of tenue form 1. syees.       Image: Control of tenue form 1. syees.         11       10% of the balance sheet value, in the case of tenue form 1. syees.       Image: Control of tenue form 1. syees.         11       11% Soft the balance sheet value, in the case of tenue form 1. syees.       Image: Control of tenue form 1. syses.         11       11% Soft the balance sheet value, in the case of tenue form 1. syees.       Image: Control of tenue form 1. syses.         11       11% Soft the balance sheet value, in the case of tenue form 1. syses.       Image: Control of tenue form 1. syses.         11       11% Soft the balance sheet value, in the case of tenue form 1. syses.       Image: Control of tenue form 1. syses.         11       11% Soft the balance sheet value, in the case of tenue form 2. syses.       Empective securities which we is higher. (Provided that if any of these securities are plaqed with the securities are plaqed are plaqed are plaqed with the securities are plaqed wi	1.4				
II. 12% of the balance sheet value, in the case of tenue of more than a year.         III. 13% of the balance sheet value, in the case of tenue of more than a year.         III. 13% of the balance sheet value in the case of tenue of more than a year.         III. 13% of the balance sheet value in the case of tenue of more than a year.           III. 13% of the balance sheet value in the case of tenue of more than a year.         III. 15% of the balance sheet value in the case of tenue of more than a year.         III. 15% of the balance sheet value in the case of tenue of more than a year.           III. 15% of the balance sheet value in the case of tenue of more than a year.         III. 15% of the balance sheet value in the case of tenue of more than a year.         III. 15% of the balance sheet value in the case of tenue of more than a year.           III. 15% of the balance sheet value in the case of tenue of more than a year.         III. 15% of the balance sheet value in the case of tenue of more than a year.         III. 15% of tenue of tenue of more than a year.           III. 15% of the balance sheet value in the case of tenue of more than a year.         IIII. 15% of tenue of tenue of tenue of more than a year.         IIII. 15% of tenue of tenue of tenue of more than a year.           III. 15% of tenue of tenue of more than a year.         IIII. 15% of tenue of tenue of tenue of more than a year.         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII		If listed than:			
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If unlisted that:         Image: Interview of the balance sheet value in the case of tenue upto 1 year.         Image: Int 256 of the balance sheet value in the case of tenue upto 1 year.         Image: Int 256 of the balance sheet value. In the case of tenue upto 1 year.         Image: Int 256 of the balance sheet value. In the case of tenue upto 1 year.         Image: Int 256 of the balance sheet value. In the case of tenue upto 1 year.           15         Intestment in Equity Securities of tenue upto 1 year.         Image: Intestment in Equity Securities of tenue upto 1 year.         Image: Intestment in Equity Securities of tenue upto 1 year.           16         Intestment in Equity Securities of tenue upto 1 year.         Image: Intestment intestment upto 1 year.         Image: Intestment upto 1 year.           17         Intestment in Equity Securities of tenue upto 1 year.         Image: Intestment upto 1 year.         Image: Intestment upto 1 year.           18         If an Intestment upto 1 year.         Image: Intestment upto 1 year.         Image: Intestment upto 1 year.         Image: Intestment upto 1 year.           18         If an Intestment upto 1 year.         Image: Intestment upto 1 year.         Image: Intestment upto 1 year.         Image: Intestment upto 1 year.           18         If an Intestment upto 1 year.         Image: Intestment upto 1 year.         Image: Intestment upto 1 year.         Image: Intestment upto 1 year.           19         Margin deports year.         Image: Intestment upto 1 year.         Image: Intestm		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.1% of the balance sheet value, in the case of tenue of more 13 years.					
III.1356 of the balance sheet value, in the case of ferune if more than 3 years.         III.1356 of the balance sheet value, in the case of ferune if more than 3 years.           IV investment in Equity Securities         IV investment in Equity Securities on the cutoff date as computed by the Securities Exchange for espectre securities which here it highly the provided that if any of these securities are pledged with the espectre securities of esphere securities on the cutoff date as computed by the Securities Exchange for espectre value in the case of minimum capital         847         847           I. If initiated 3008 of canying value         Investment in associated companies/undertaking         IV         IV <td></td> <td></td> <td></td> <td></td> <td></td>					
III 158 of the balance sheet value, in the case of feature of more than 3 year.         Image: Control in Equity Securities on the cutoff data is a computed by the Securities Exchange for expectite securities where is higher, (Provided that I any of these securities is no pilodged with the value of eligible securities to the oxient of minimum required value of Base minimum capital is value of eligible securities are pilodged with value of eligible securities to the oxient of minimum required value of Base minimum capital is value of eligible securities to the oxient of minimum required value of Base minimum capital is value of eligible securities to the oxient of an intermeter state of the oxient of minimum required value of Base minimum capital is value.         847         847           16         Investment is subsidiaries a computed by the Securities Exchange for respective securities computed by the Securities control of the securities and the exchange for expective securities are provided with exchange for expective securities are provided with exchange for expective securities are provided with exchange and clearing house or computed by the Securities exchange for comption 1500,000         1500,000           18         Statutory or regulatory deposition with securities exchange for comption 1500,000         1500,000           10         Margin deposition with exchange and clearing house or comption with regulatory the exchange for expective securities exchange for exp					
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securities exchange for base minimum capital requirement, 100% halicut on the value of eligible securities to the extent of minimum equited value of Base minimum capital if unitsets (00% of carrying value. if u			63,195,309	9,752,357	53,442,952
securities to the sixent of minimum required value of Base minimum capital         4           I.I.f. understand 3000 of capital value         847           16.         Investment In associated companies/undertaking         4           17.         Investment In associated companies/undertaking         4           18.         Investment In associated companies/undertaking         4           19.         Investment In associated companies/undertaking         4           18.         Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or contrait depository or any other entity.         5           19.         Margin deposits/with exchange any other entity.         200,000         0         200,000           11.0         Depositive nak-up on amounts placed with financiati institutions or debt securities         200,000         0         200,000           11.0         Depositive nak-up on amounts placed with financiati institutions or debt securities         200,000         0         200,000           11.11         Definities.point on mak-up on amounts placed with financiati institutions or debt securities         200,000         0         16,000           11.12         Accurace and repayments         2         2         2         2         2         2         2         2         2         2         2         2					
II. If unitided. 100% of camping value.         847         847           10. Investment in subcidies         947         847           1.7. Investment in subcidies         947         847           1.8. Statutory or regulatory deposition computed by the Securities Exchange for respective securities         947         947           1.8. Statutory or regulatory deposition are omputed by the securities exchange to compile a provide a computed by the securities exchange to compily         9,500,000         94           1.8. Statutory or regulatory deposition are observed its as amount of cash deposited with securities exchange to compily         9,500,000         1,500,000           1.9. Deposit with authorized informediaty against borowed securities exchange to compily         9,500,000         200,000           1.10. Deposit with authorized informediaty against borowed securities and other related parties         200,000         200,000           1.10. Diversity of markup accued on least to directors, subsidiaries and other related parties         100         100         100         100         100         100         100         1000         100					
16       Investment in associated comparies/undertaking		ii. If unlisted, 100% of carrying value.	847	847	
If Histod 20% or VBR of each securities is computed by the Securities Exchange for respective securities					
whichever is higher.         which ever is higher.           iii if united. 10% of net value.					
II if unitsdd, 10% of net value					
1-8       Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.       1,500,000         1.9       Manin depository or any other entity.       1,500,000         1.9       Manin depository or any other entity.       0         1.0       Depositor with exchange and clearing house taken in the calculation of LC       200,000       0         1.0       Depositor with authorized intermediary anginsh borowed securities under SLB.       200,000       0         1.11       Christ deposits and prepayments       200,000       200,000         1.12       Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nii)       200,000         1.12       Accrued Interest, profit or mark-up accrued on loans to directors, subsidiaries and other related parties       200,000         1.13       Dividents receivables against kepo financing.       200,000       200,000         1.14       Amount paid a purchaser under thermostics on employees provided these bans are secured       16,000       0       16,000         210       Acdvances and receivables on therman. Securities exchange(S)       100% induct may be applied to the advance tax to the extent Li k netted with provision of taxation.       11,095,781       1,095,781       1,095,781       1,095,781       1,095,781       1,095,781       1,095,781       1,095,781 <td></td> <td></td> <td></td> <td></td> <td></td>					
central depository or any other entity.					
(i) 100% of net value, however any excess amount of cash deposited with seculation of LC         200,000         1,500,000           19         Margin deposits with exchange and clearing house.         200,000         0         100% in respect of markup accrued on loans to directors, subsidiaries and other related parties         1	1				
with requirements of base minimum capital may be taken in the calculation of LC         200,000         0         200,000           110         Deposit with authorized intermediary against borrowed securities under SLB.         200,000         0         200,000           110         Cher deposits and prepayments         200,000         0         200,000           111         Cher deposits and prepayments         200,000         0         200,000           112         Devident caposits and prepayments         200,000         0         200,000           112         Devident caposits and prepayments         200,000         0         200,000           113         Dividents cecivables         200,000         0         200,000         0           113         Dividents receivables         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         200,000         0         0         0         0         0         0         0         0 <td></td> <td>(i) 100% of net value, however any excess amount of cash deposited with securities exchange to comply</td> <td>1,500,000</td> <td>1,500,000</td> <td></td>		(i) 100% of net value, however any excess amount of cash deposited with securities exchange to comply	1,500,000	1,500,000	
1.10       Deposit with authorized intermediary against borowed securities under SLB.		with requirenments of base minimum capital may be taken in the calculation of LC	1,000,000	1,000,000	
1.11       Other deposits and prepayments          1.12       Accured Interest, profil or mark-up on amounts placed with financial institutions or debt securities etc. (NII)          1.12       Accured Interest, profil or mark-up on amounts placed with financial institutions or debt securities etc. (NII)          1.13       Accured Interest, profil or mark-up accured on loans to directors, subsidiaries and other related parties          1.14       Amount secelvable against Repo financing.          Amount secelvables other than trade Recelveables:           (1) No haicut may be applied on the shot term loan to employees provided these loans are secured indue for repayments within 12 months.           (ii) No haicut may be applied to the advance tax to the extent it is netted with provision of taxation.            (iii) In all other cases 100% of net value              1.16       Receivables from clearing house or securities exchange(s)              1.08       Value of claims other than those on account of entitlements against trading of securities held in the blocked account after applying VAR based haicut. <td< td=""><td></td><td></td><td>200,000</td><td>0</td><td>200,000</td></td<>			200,000	0	200,000
1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities					
etc.(Nii)         100% in respect of markup accrued on loans to directors, subsidiaries and other related parties         111           113         Dividends receivables         113           114         Amount suck apaint Repo financing, Amount suck apaint Repo financing.         113           115         Advances and receivables other than trade Receiveables:         114           (1) No haircut may be applied on the short term loan to employees provided these loans are secured and the for repayments within 12 months.         116           (10) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.         116           (10) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.         117           (10) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.         118           (10) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.         118           (10) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.         119           (10) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.         110           (10) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.         110           (11) Receivables from clearing house or securities exchange(s)         110         100%, yalue of claims appliet					
1.13       Dividends receivables       Image: construction of the REPO agreement. Securities purchased under repo arrangement shall not be included in the investments.)         1.14       Amount paid as purchaser under the REPO agreement. Securities purchased under repo arrangement shall not be included in the investments.)       Image: construction of the REPO agreement. Securities purchased under repo arrangement and use for repayments within 12 months.         1.15       Advances and receivables other than trade Receiveables:       Image: construction of the REPO agreement and use of the extent it is netted with provision of taxation.         (II) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.       Image: construction of the relation of the relation of the relation of the relation of taxation.         (III) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.       Image: construction of the relation of the relation of the relation of taxation.         (III) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.       Image: construction of the relation of the re		etc.(Nil)			
1.14       Amounts receivable against Repo financing.         Amounts paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) <ul> <li>1.15</li> <li>Advances and receivables other than trade Receiveables:</li> <li>(i) No haircut may be applied on the short term loan to employees provided these loans are secured</li> <li>16,000</li> <li>100% value of securities held in the value of real value of value determined through adjustments.</li> <li>1.10 receivables are against recurities bard balarcut, (i) value of securities held in the blocked account after applying VAB based haicut.</li></ul>					
Amount paid as purchaser under the REPO agreement (Securities purchased under repo arrangement shall not be included in the investments.)       Intervent of the investments.)         1.15       Advances and receivables other than trade Receiveables:       Intervent of the investments.)         1.15       Advances and receivables other than trade Receiveables:       Intervent of the investments.)         (i) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.       Intervent of the investments.)         1.16       Receivables from clearing house or securities exchange(s)       Intervent of the investment of the investment is against trading of securities in all intervent of the investment is against trading of securities in all intervent of the investment					
ishall not be included in the investments.)       Intervention of the included in the investments.)         1.15       Advances and receivables other than trade Receiveables;       Image: Control of the investments.)         (i) No haircut may be applied on the short term loan to employees provided these loans are secured       16,000       0         (ii) No haircut may be applied on the short term loan to employees provided these loans are secured       16,000       0       16,000         (iii) No haircut may be applied on the short term loan to employees provided these loans are secured       16,000       0       16,000         (iii) No haircut may be applied on the short term loan to employees provided these loans are secured       16,000       0       16,000         (iii) No haircut may be applied on the short term loan to employees provided these loans are secured       100%       100%       100% of the value       100% value of claims other than those on account of entitlements against trading of securities in all       1,095,781					
(i) No haircut may be applied on the short term loan to employees provided these loans are secured       16,000       0       16,000         (ii) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation .					
and due forrepayments within 12 months.	1.15	Advances and receivables other than trade Receiveables;			
(ii) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.       Image: Context addition of the advance tax to the extent it is netted with provision of taxation.         (iii) In all other cases 100% of net value       Image: Context advance tax to the extent it is netted with provision of taxation.         1.16       Receivables from clearing house or securities exchange(s)       Image: Context advance tax to the extent it is netted with provision of taxation.         1.00% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains       1,095,781       1,095,781         1.00% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains       1,095,781       1,095,781         1.17       Receivables from customers       Image: Context addvance tax to the extent it is netted with provision of securities held in the blocked account after applying VAR based Haircut.       Image: Context addvance tax to the extent addvance tax to therex to the extent addvance tax to the extent			16,000	0	16,000
(iii) In all other cases 100% of net value       (iii) In all other cases 100% of net value         1.16       Receivables from Clearing house or securities exchange(s)       1,095,781         100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains       1,095,781         (Lower of net balance sheet value or value determined through adjustments)       1.17         Receivables from Customers       i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.       iiii. Incase receivables are against margin intading, 5% of the net balance sheet value.         iii. Incase receivables are against curities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut       iiii. Incase receivables are against exertives borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut       118,285       0       118,285       0       118,285       0       118,285       0       118,285       0       118,285       0       118,285       0       118,285       0       118,285       0       118,285       0       118,285       0       118,285 <td></td> <td></td> <td></td> <td></td> <td></td>					
1.16       Receivables from clearing house or securities exchange(s)       1,095,781         100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains       1,095,781       1,095,781       1,095,781         1.17       Receivables from customers             1.18       Receivables from customers             1.19       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.            1. Lower of net balance sheet value or value determined through adjustments.             18. Incase receivables are against margin trading, 5% of the net balance sheet value.					
100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains       1,095,781       1,095,781       1,095,781         Imarkets including MtM gains       (Lower of net balance sheet value or value determined through adjustments)       117       Receivables from customers       100% value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       100% value of value of value determined through adjustments.         10. Lower of net balance sheet value or value determined through adjustments.       100% value of value of value of value determined through adjustments.       100% value of value of value of value of value determined through adjustments.         10. Lower of net balance sheet value or value determined through adjustments.       100% value of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts value of securities purchased for customers and held in sub-accounts afte	1.16				
(Lower of net balance sheet value or value determined through adjustments)         1.17       Receivables from customers         i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         iii. Net amount after deducting haircut         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Net amount after deducting haircut         iv. Incase of other trade receivables nor more than 5 days overdue, 0% of the net balance sheet value.         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective CDS account of the related particut on underlying securitis readily available in respective CDS account of the rel			1,095,781	1,095,781	1,095,781
1.17       Receivables from customers       In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       Image: Collateral after applying VAR based haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.         I. Lower of net balance sheet value or value determined through adjustments.       Image: Collateral after applying VAR based haircut.         II. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       Image: Collateral upon entering into contract,         III. Net amount after deducting haircut       IV. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       118,285       0       118,285         V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,716,715       3,975,586       3,975,586         Value of securities held as collateral after applying VAR based haircuts       VI he case of amount of receivables from related parties, values determined after applying       22,850,402       7,956,982       7,956,982       7,956,982         Vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related parties of a sociala		markets including MtM gains			
1.17       Receivables from customers       In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       Image: Collateral after applying VAR based haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.         I. Lower of net balance sheet value or value determined through adjustments.       Image: Collateral after applying VAR based haircut.         II. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       Image: Collateral upon entering into contract,         III. Net amount after deducting haircut       IV. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       118,285       0       118,285         V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,716,715       3,975,586       3,975,586         Value of securities held as collateral after applying VAR based haircuts       VI he case of amount of receivables from related parties, values determined after applying       22,850,402       7,956,982       7,956,982       7,956,982         Vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related parties of a sociala		(Lower of not halance sheet value or value determined through adjustments)			
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin frading, 5% of the net balance sheet value.       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       118,285       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,716,715       3,975,586         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market       4,716,715       3,975,586         v. Incase of other trade receivables for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts       22,850,402       7,956,982       7,956,982         v. In the case of amount of receivables from related parties, values determined after applying papilcable haircuts number of securities readily available in respective CDS account of the related party in the following manner;       22,850,402       7,956,982       7,956,982       7,956,982	1.17				
blocked account after applying VAR based Hairout, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based hairout. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting hairout iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting hairout iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables not more than 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based hairouts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based hairouts vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner;					
i. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Net amount after deducting haircut         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       118,285       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,716,715       3,975,586         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts       22,850,402       7,956,982       7,956,982         vi. In the case of amount of receivables from related parties, values determined after applying party in the following manner;       22,850,402       7,956,982       7,956,982		blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Net amount after deducting haircut         iii. Net amount after deducting haircut       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iii. Net amount after deducting haircut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       118,285       0       118,285         iv. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,716,715       3,975,586       3,975,586         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts       22,850,402       7,956,982       7,956,982         vi. In the case of amount of receivables from related parties, values determined after applying party in the following manner;       22,850,402       7,956,982       7,956,982					
ii. Net amount after deducting haircut       iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Net amount after deducting haircut       iii. Net amount after deducting haircut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       118,285       0         iv. Balance sheet value       118,285       0       118,285         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,716,715       3,975,586         value of securities purchased for customers and held in sub-accounts after applying VAR based       4,716,715       3,975,586         haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts       22,850,402       7,956,982         vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner;       22,850,402       7,956,982       7,956,982					
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iii. Net amount after deducting haricut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       118,285       0       118,285         iv. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts       3,975,586       3,975,586         vi. In the case of amount of receivables from related parties, values determined after applying var based haircuts       22,850,402       7,956,982       7,956,982         applicable haircuts on underlying securities readily available in respective CDS account of the related parties, values determined after applying       22,850,402       7,956,982       7,956,982					
collateral upon entering into contract, iii. Net amount after deducting haricut118,2850iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.118,2850iv. Balance sheet value118,2850118,285v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market4,716,7153,975,586value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of4,716,7153,975,586vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner;7,956,9827,956,982		iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.118,2850118,285iv. Balance sheet valuev. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market4,716,7153,975,5863,975,586value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts22,850,4027,956,9827,956,982vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner;22,850,4027,956,9827,956,982		collateral upon entering into contract,			
iv. Balance sheet valuev. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market4,716,7153,975,586value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner;22,850,4027,956,982			110 005		110 005
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market4,716,7153,975,5863,975,586value of securities purchased for customers and held in sub-accounts after applying VAR basedhaircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value ofsecurities held as collateral after applying VAR based haircutsvi. In the case of amount of receivables from related parties, values determined after applying22,850,4027,956,9827,956,982applicable haircuts on underlying securities readily available in respective CDS account of the relatedparty in the following manner;22,850,4027,956,9827,956,982			110,285	U	110,285
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner;		v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	4,716,715	3,975,586	3,975,586
securities held as collateral after applying VaR based haircuts       22,850,402       7,956,982         vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner;       22,850,402       7,956,982       7,956,982		value of securities purchased for customers and held in sub-accounts after applying VAR based			
vi. In the case of amount of receivables from related parties, values determined after applying 22,850,402 7,956,982 7,956,982 applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; 2,850,402 2,85					
applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner;			22.850.402	7.956.982	7,956,982
		applicable haircuts on underlying securities readily available in respective CDS account of the related	,, ••-	.,	.,,
(a) up to su days, values determined after applying var based haircuts.					
		(a) up to 30 days, values determined after applying var based haircuts.			

Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-MAR-23** 

of M/s. AKY Securities (Private) Ltd.

Submission Date 10-APR-2023 13:34:18

	Submission Date 10-APR-2023 13:34:18		Page	2 Of 3
a				
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	(b) Above 30 days but upto 90 days, values determined after applying 50% or var based haircuts			
	whichever is higher. (c) above 90 days 100% haircut shall be applicable.			
1.18	vi. Lower of net balance sheet value or value determined through adjustments			
1.18	Cash and Bank balances i. Bank Balance-proprietory accounts	3,723,339	0	3,723,339
	ii. Bank balance-customer accounts	2,597,514	0	
1.19	iii. Cash in hand Subscription money against investment in IPO/ offer for sale (asset)			
	(i)No haircut may be applied in respect of amount paid as subscription money provided that shares			
	have not been alloted or are not included in the investments of securities broker. (ii) In case of Investment in IPO where shares have been alloted but not yet credited in CDS Account,			
	25% haircuts will be applicable on the value of such securities.			
	(iii) In case of subscription in right shares where the shares have not yet been credited in CDS account, 15% or VAR based haircut whichever is higher, will be applied on Right Shares.			
1.20	Total Assets	105,337,811	29,605,172	73,126,439
2	Liabilities			
2.1	Trade Payables i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products			
2.2	iii. Payable to customers Current Liabilities	2,597,514	0	2,597,514
2.2	i. Statutory and regulatory dues	103,002	0	103,002
	ii. Accruals and other payables iii. Short-term borrowings	391,024	0	391,024
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for taxation			
2.3	viii. Other liabilities as per accounting principles and included in the financial statements	38,900,000	0	38,900,000
2.3	Non-Current Liabilities i. Long-Term financing			
	ii. Other liabilities as per accounting principles and included in the financial statements			
	iii. Staff retirement benefits Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial			
	institution including amount due against finance leases. (b) Nill in all other cases			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
2.5	Advance against shares for Increase in Capital of Securities broker:			
	100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
2.6	e. Auditor is satisfied that such advance is against the increase of capital.	41.991.540	0	41,991,540
3	Total Liabilites Ranking Liabilities Relating to :	1,001,040	0	+1,001,040
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of			
	receivable against margin financing does not exceed Rs 5 million)			
	Note: Only amount exceeding by 10% of each financee from aggregate amount shall be included in			
3.2	the ranking liabilities. Concentration in securites lending and borrowing			
5.2	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
	Note: Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be			
3.3	included in the ranking liabilities)			
3.5	Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	<ul> <li>(i) the 50% of Haircut multiplied by the underwriting commitments and</li> <li>(ii) the value by which the underwriting commitments exceeds the market price of the securities.</li> </ul>			
	· · · ·			

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-MAR-23** 

of M/s. AKY Securities (Private) Ltd.

Submission Date 10-APR-2023 13:34:18

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6 3.7	Amount Payable under REPO Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11 3.12	Total Ranking Liabilites	0 63,346,271	0 29,605,172	31,134,899